



## ORDER ROUTING & TRADE EXECUTION POLICY

---

The Strategic Financial Alliance, Inc. executes all stock, bond and option transactions through its clearing firm, Pershing LLC ("Pershing"). Pershing executes those orders on behalf of SFA and provides data regarding the routing of these orders. This data can be viewed at <http://www.orderroutingdisclosure.com>.

Enter the broker dealer's full name: The Strategic Financial Alliance

Although Pershing has used commercially reasonable efforts to provide accurate information, the data is provided to you on an "as is" basis. PERSHING SPECIFICALLY DISCLAIMS ALL WARRANTIES EXPRESS OR IMPLIED WITH RESPECT TO THE INFORMATION, INCLUDING SPECIFICALLY, BUT NOT EXCLUSIVELY, WARRANTIES OF MERCHANTABILITY, FITNESS FOR A PARTICULAR PURPOSE AND NON-INFRINGEMENT. YOU AGREE THAT PERSHING SHALL NOT HAVE ANY LIABILITY FOR ANY INDIRECT, CONSEQUENTIAL, SPECIAL OR DIRECT DAMAGES FOR ANY ACTION OR INACTION WHICH YOU MAY TAKE AS THE RESULT OF THE DATA, WHETHER AS A RESULT OF ANY CLAIM SOUNDING IN CONTRACT OR ANY OTHER THEORY OF LIABILITY.